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#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/827,360	04/04/2001	Richard K. Tam	M-9127 US

CONFIRMATION NO. 3920

FORMALITIES LETTER



OC000000006091271

Omkar K. Suryadevara
 SKJERVEN MORRILL MacPHERSON LLP
 Suite 700
 25 Metro Drive
 San Jose, CA 95110-1349

Date Mailed: 05/18/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1112.
 - \$792 for 44 total claims over 20.
 - \$320 for 4 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1952.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/827,360
 06/04/2001 MMJMB1 00000059 192365
 710.00 130.00 320.00
 792.00
 FC101 FC105 FC102
 FC103
 01 02 03 04



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Richard K. Tam and Mark A. Resmer
 Assignee: iUniverse.com, Inc.
 Title: E-commerce Using An Identifier
 Serial No.: 09/827,360 Filing Date: April 4, 2001
 Examiner: Unknown Group Art Unit: Unknown
 Docket No.: M-9127 US

San Jose, California
 May 29, 2001

BOX MISSING PARTS
 COMMISSIONER FOR PATENTS
 Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION
FILED UNDER 37 CFR 1.53(b)
Filing Date Granted

Dear Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application" mailed by the United States Patent and Trademark Office on May 18, 2001, the following documents are enclosed to complete the filing of the above-identified patent application:

1. A declaration signed by the inventors in compliance with 37 CFR 1.63; and
2. Copy of Notice to File Missing Parts of Nonprovisional Application.

LAW OFFICES OF
 SKJERVEN MORRILL,
 MacPHERSON LLP
 25 METRO DRIVE
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 SAN JOSE, CA 95110
 (408) 453-9200
 FAX (408) 453-7979

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 19-2386:

1.	Surcharge for filing declaration on a date later than the filing date of the application.	\$130.00
2.	Statutory basic filing fee.	\$710.00
3.	44 extra claims at \$18.00 each	\$792.00
4.	4 extra independent claims at \$80 each	\$320.00
5.	TOTAL FEES:	\$1,952.00

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above-identified patent application and justify the filing date of April 4, 2001. Please telephone the undersigned at (408) 453-9200, if there are any questions. This form is being submitted in duplicate.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on May 29, 2001.



Attorney for Applicants



5/29/2001
Date of Signature

Respectfully submitted,



David C. Hsia
Attorney for Applicants
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